US Patent Application No:

09/756,185

"Component B as angiogenic agent in combination with human growth factors"

Applicant:

Borelli, Donini and

Ziche

Declaration of Professor Marina Ziche

I, Marina Ziche, do hereby declare as follows:

- 1. I am currently employed as Professor of Pharmacology, at the University of Siena, Siena, Italy. I have worked in the field of molecular pharmacology since 1979. For the past 20 years, much of my work has concerned the study of angiogenesis and the factors that influence it. I have published over 100 refereed papers and articles on the topic of angiogenesis. My *curriculum vitae* is attached as Exhibit A.
- 2. I am named as co-inventor on US patent application no. 09/756,185 ("the Application"). I was involved in designing the experiments reported therein on pages 3 to 9, and it was in my laboratory and under my supervision that the work was carried out.
- 3. In the experiments reported in the Application, angiogenesis was assessed using the rabbit cornea assay. In this assay, micro-pockets are produced in the cornea of an anaesthetised rabbit. Pellets of the test compounds, prepared with a slow-release polymer (Elvax-40) are placed in the micro-pockets.

 Angiogenesis is recorded daily by examining the cornea under a slit lamp stereomicroscope. The number of implants exhibiting neovascularisation and the density of new vessels are recorded, permitting the assignment of an "angiogenic score".
- 4. On page 6 of the Application, experiments are reported in which Component B and basic fibroblast growth factor (bFGF) are implanted in corneal micro-pockets. To assess the synergism between Component B and bFGF, the compounds were implanted both alone and together. The results are shown in Figures 4A and 4B of the Application, which show angiogenic score progression from 0 to 12 days after implantation.

- 5. I have prepared revised versions of Figures 4A and 4B, which are attached as Exhibit B. The revised figures show the results of the same experiments reported in the Application, with error bars.
- 6. Revised Figures 4A and 4B show the angiogenic score over time when 500 ng of Component B [CB] were implanted [open squares], and when 100 ng of bFGF were implanted [filled diamonds], each agent being implanted in a different comea (n=7). Each compound was implanted as a single pellet. These results show the effect of each agent acting alone.
- 7. Revised Figure 4A also shows the effect when Component B and bFGF were implanted together imbedded in a single pellet containing both agents [open circles]. The combined treatment invariably resulted in a higher angiogenic response relative to the response obtained with single molecules. The score values were significantly higher between days 5 and 12 [n=7, P<0.01, asterisks in Figure 4A].
- 8. Revised Figure 4B compares the effect exerted by Component B and bFGF released from single peliets with that exhibited by the combination of Component B and bFGF released simultaneously from two adjacent peliets. The combination of the two agents results in a greater angiogenic response, which becomes statistically different from a single molecule response at day 5 and 7 [n=7, P<0.01, asterisks in Figure 4B], It is noted that the total doses of Component B and bFGF were 500 ng and 100 ng, respectively, in all cases.
- 9. The procedure using two pellets [Figure 4B] provides higher angiogenic response than when Component B and bFGF are combined in one pellet. This is probably due to interference and/or competition in the release rate of the two molecules when they are embedded in the same pellet. The two-pellet experiment provides a "clearer" experimental condition.
- 10. Regardless of whether the two agents are in two adjacent pellets or a single pellet, the response to the combined treatment is greater than the sum obtained with single molecules. As an example, at day 7, the sum of anglogenic score elicited by single molecules is 1.3, while that of the combination treatment is 3 and 4.5 with one and two tablets, respectively. The synergism between Component B and bFGF is clearly demonstrated.

3

- 11. Synergism was also observed when Component B was combined with another growth factor, i.e. VEGF. These results are reported in Table 3 of the Application. The anglogenic response to a combination of Component B [400 ng] and VEGF [100 ng] yields an angiogenic response greater [P<0.01] than that obtained with single molecules.
- 12. I have been asked to comment on the statistical significance of the results shown in Figures 4A and 4B. As mentioned in the Application, at page 5, lines 5-9, results were expressed as means for n=7 implants. Multiple comparisons were performed by one-way ANOVA and individual differences were tested by Fisher's test after the demonstration of significant intergroup differences by ANOVA. A P-value of less than 0.05 was taken as significant.
- 13. A P-value of < 0.01, as reported in the two above-described experiments, provides evidence for the synergy of Component B and bFGF. This synergism was noteworthy, and we proceeded to seek patent protection for a method of promoting angiogenesis using Component B and a human growth factor.

Declared at Siena, Italy

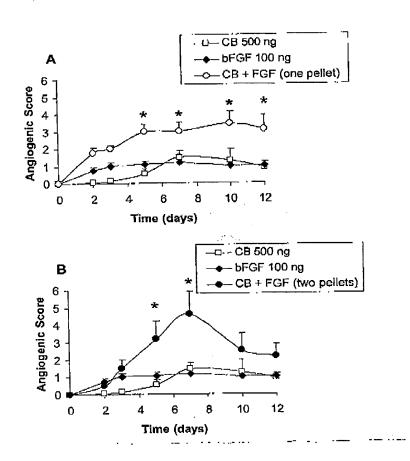
ha DL D

Date:29/06/2004

Marina Ziche, Ph.D.

Exhibit A: curriculum vitae of Marina Ziche Exhibit B: revised Figures 4A and 4B

Fig. 4



*P<0.01 vs either CB or bFGF alone (Student t test for grouped data).

Pelvi

÷

CURRICULUM VITAE

MARINA ZICHE, MD

Head Pharmacology Branch Director Laboratory of Angiogenesis Department of Molecular Biology, University of Siena Via A. Moro 2, 53100 Siena, Italy

tel: (0577) 234 444 fax: (0577) 234 343 E-mail: ziche@unisi.it

Professional History:

1977	M.D. degree, School of Medicine, Univ. of Florence, Italy.
1979-82	Fogarty Fellow, National Cancer Institute at NIH, Laboratory of Pathophysiology,
	Bethesda, MD, USA.
1985-1986	Visiting Scientist, Weizmann Institute of Science, Dept. of Hormone Research,
	Rehovot, Israel.
1986	Visiting Scientist, Karolinska Institutet, Dept. of Pharmacology, Stockholm, Sweden.
1990-92	Visiting Professor, Microcirculation Research Institute, Dept. of Medical Physiology,
	Texas A&M University, College Station, TX, USA
1998-2001	Associate Professor of Pharmacology, University of Siena, Institute of Pharmacology,
	Italy
2000-2001	Visiting Professor, Dept. Pharmacology, U.T. South Western Medical School, Dallas,
	TX USA
2001-present	Full Professor of Pharmacology, School of Pharmacy, University of Siena, Italy

Relevant experience and expertise:

The laboratory of Prof. Marina Ziche focuses on research of the endothelium with particular emphasis on angiogenesis, one of the principal functions of this tissue. Angiogenesis, a process which leads to formation of new vessels, has a relevant physiological role during development and in adult life. The involvement of angiogenesis in pathologies, particularly cancer, but also cardiovascular and neurodegenerative diseases, has sparked an intense research interest in this phenomenon.

The laboratory of Prof. Ziche has contributed to research on angiogenesis by characterising molecules (growth factors, cytokines...) which exert important effects on the formation of new blood vessels in pathologies such as cancer and cardiovascular diseases. Other significant contributions deal with the elucidation of signalling pathways involved in angiogenesis (NO, cGMP) and with the control of the acquisition of the angiogenetic phenotype by endothelial cells.

Ongoing research projects:

- -Studies on angiosuppressive molecules and their exploitation as antitumor agents. This includes also novel strategies based on the interplay of NOS and COX pathways, their suppression by specific inhibitors and their relevance for colon cancer.
- -Cardiovascular pathologies caused by endothelial dysfunction (ischemia and heart failure) with emphasis on growth factors and compounds able to restore the integrity of the endothelium.
- -Nanostructured biomaterials which support endothelial cell growth to be used as medical devices in cardiovascular and bone diseases.
- -Study of the endothelium as a barrier during parasitic invasion, and its interplay with inflammatory cells.
- -Angiogenesis in neurodegenerative pathologies and in gene-linked diseases.

These studies are grounded on a long established repertoire of cell biology, biochemical and molecular biology techniques (quantitative RT-PCR). In addition the laboratory has a solid experience on ex-vivo and in vivo techniques to assess angiogenesis (rabbit cornea, mouse matrigel plug and vessels sprouting in gels), tumor growth (immunodeficient mice), and cardiovascular functions (aorta rings and isolated heart).

LIST OF PUBLICATIONS (1998-2004)

Papers in peer-review journals

- 1) L.Morbidelli, L. Brogelli, H.J. Granger and M. Ziche (1998) Endothellal cell migration is induced by soluble P-selectin. Life Sci., Pharmacol. Lett., 62: PL7-11
- 2) M. Ziche, S. Donnini, L. Morbidelli, A. Parenti, G. Gasparini and F. Ledda (1998): Linomide blocks angiogenesis by breast carcinoma vascular endothelial growth factor transfectants Brit. J. Cancer, 77 (7): 1123-1129
- 3) A. Parenti, L. Morbidelli, X.L. Cui, J. G. Douglas, J. Hood, H.J. Granger, F. Ledda and M. Ziche (1998) Nitric oxide is an upstream signal for vascular endothelial growth factor-induced extracellular signal-regulated kinases 1/2 activation in postcapillary endothelium.
- J. Biol. Chem. 273: 4220-4226
- O. Gallo, E. Masini, L. Morbidelli, A. Franchi, I. Fini-Storchi, W. A. Vergari and M. Ziche (1998)
 Role of nitric oxide in angiogenesis and tumor progression in head and neck cancer.
 Natl. Cancer Inst. 90: 587-596
- 5) J.D. Hood, M. Ziche, H. J. Granger (1998) VEGF upregulates ecNOS message, protein, and NO production. Am. J. Physiol. 274 (3 Pt 2): H1054-H1058
- 6) G. Fibbi, R. Caldini, M. Chevanne, M. Pucci, N. Schiavone, L. Morbidelli, A. Parenti, H.J. Granger, M. Del Rosso and M. Ziche
 Urokinase-dependent angiogenesis in vitro and diacylglycerol production are blocked by antisense oligonucleotides against the urokinase receptor.
 Lab. Invest., 78 (9): 1109-19
- 7) L. Morbidelli, A. Parenti, Giovannelli, L., Granger H.J., Ledda F., and M. Ziche (1998) B1 receptor involvement in the effect of bradykinin on venular endothelial cell proliferation and potentiation of FGF-2 effects.

 Brit. J. Pharmacol. 124 (6): 1286-1292.
- 8) M. Rusnati, E. Tanghetti, C. Urbinati, G. Tulipano, S. Marchesini, M. Ziche and M. Presta (1999) Interaction of fibroblast growth factor-2 (FGF-2) with gangliosides. Mol Biol Cell, 10: 313-327
- 9) M. Meyer, M. Clauss, A. Lepple-Wienhues, J. Waltenberger, H.G. Augustin, M. Ziche, C. Lanz, M. Buettner, H.J. Rziba, C. Dehio (1999)

 A novel vascular endothelial growth factor encoded by Orf virus, VEGF-E, mediates angiogenesis via signalling through VEGFR-2 (KDR) but not VEGFR-1 (Flt-1) receptor tyrosine kinases.

 EMBO J. 18: 363-374
- 10) M. Presta, M. Rusnati, M. Belleri, L. Morbidelli, M. Ziche, D. Ribatti (1999)
 Purine analog 6-methylmercaptopurine ribose inhibits early and late phases of the angiogenesis process.
 Cancer Res. 59(10): 2417-2424

- 11) V. Goede, L. Brogelli, M. Ziche and H.G. Augustin (1999) Induction of inflammatory angiogenesis by monocyte chemoattractant protein-1. Int. J. Cancer, 82: 756-770
- 12) L. Marconcini, S. Marchio', L. Morbidelli, E. Cartocci, A. Albini, M. Ziche, F. Bussolino, and S. Oliviero (1999) c-fos induced growth factor/vascular endothelial growth factor D induces angiogenesis in vivo and in vitro. P Natl Acad Sci USA, 96:9671-76.
- 13) L. Taddei, P. Chiarugi, L. Brogelli, P. Cirri, L. Magnelli, G. Raugei, M. Ziche, H. J. Granger, V. Chiarugi, and G. Ramponi (1999)
 Inhibitory effect of full/length human endostatin on in vitro angiogenesis
 Biochem Biophys Res Commun, 263(2):340-345.
- 14) A. Parenti, X.-L. Cui, U. Hopfer, M. Ziche and J. Douglas (2000)
 Activation of MAPK in proximal tubule cells from spontaneously hypertensive and control Wistar-Kyoto rats.
 Hypertension, 35: 1160-1166
- 15) H.T. Zhang, P.A.E Scott, L. Morbidelli, S. Peak, J. Moore, H. Turley, A. L. Harris, M. Ziche, and R. Bicknell (2000)
 The 121 amino acid isoform of vascular endothelial growth factor is more strongly tumorigenic than other splice variants in vivo.
 Brit J Cancer, 83: 63-68
- 16) G. Taraboletti, L. Morbidelli, S. Donnini, A. Parenti, H.J. Granger, R. Giavazzi and M. Ziche (2000) The heparin binding 25kDa fragment of thrombospondin-1 promotes angiogenesis and modulates gelatinases and TIMP-2 in endothelial cells
 FASEB J, 14: 1674-1676. (http://www.fasebj.org/cgi/doi/10.1096/fj.99-0931fje)
- 17) R. Benelli, A. Barbero, A. Buffa, M.G.Aluigi, L. Masiello, L. Morbidelli, M. Ziche, A. Albini & D. Noonan (2000)
 Distinct chemotactic and angiogenic activities of peptides derived from Kaposi's Sarcoma virus encoded chemokines.
 Int. J. Oncology, 17, 75-81 (IF=1.381)
- 18) L. Cervenak, L. Morbidelli, D. Donati, S. Donnini, T. Kambayashi, J. Wilson, H. Axelson, E. Castanos-Velez, H.G. Ljunggren, R. De Waal Malefyt, H.J. Granger, M. Ziche and M.T. Bejerano (2000) Abolished angiogenicity and tumorigenicity of Burkitt's lymphoma by Interleukin-10. Blood, 96: 2568-2573
- 19) R. Barbucci, A. Magnani, S. Lamponi, S. Mitola, M. Ziche, L. Morbidelli and F. Bussolino (2000) Cu(II) and Zn(II) complexes with hyaluronic acid and its sulphated derivative. Effect on the motility of vascular endothelial cells.

 J. Inorganic Biochemistry 81(4):229-37
- 20) G. Bernardini, G. Spinetti, D. Ribatti, G. Camarda, L. Morbidelli, M. Ziche, A. Santoni, M.C. Capogrossi, and M. Napolitano (2000)
 I-309 binds to and activates endothelial cell functions and acts as an angiogenic molecule in vivo.
 Blood, 96 (13): 4039-4045.
- 21) A. Parenti, L. Brogelli, S. Donnini, M. Ziche, and F. Ledda (2001)
 Angiotensin II potentiates the mitogenic effect of noradrenaline in vascular smooth muscle cells via ATI receptors.
 Am J. Physiol., 280 (1): H99-H107

- 22) L Morbidelli, S. Donnini, and M. Ziche (2001) Nitric oxide modulates the angiogenic phenotype of middle T transformed endothelial cells. Int. J. Biochem & Cell Biol., 33: 305-313
- 23) A. Parenti, L. Morbidelli, F. Ledda, H.J. Granger, and M. Ziche (2001) The bradykinin/B1 receptor promotes angiogenesis by upregulation of endogenous FGF-2 in endothelium via the nitric oxide synthase pathway. FASEB J15 (8) 1487-1489 (http://www.fasebj.org/cgi/doi/10.1096/fj.00-0503fje)
- 24) D. Ribatti, B. Nico, L. Morbidelli, S. Donnini, M. Ziche, A. Vacca, L. Roncali and M. Presta (2001) Cell-mediated delivery of fibroblast growth factor-2 and vascular endothelial growth factor onto chick chorioallantoic membrane: endothelial fenestration and angiogenesis J. Vasc. Res., 38(4): 389-397
- 25) E. Hatzi, C. Murphy, A. Zoephel, H. Rasmussen, L. Morbidelli, H. Ahorn, K. Kunisada, U. Tontsch, T. Kishimoto, M. Ziche, E. Rofstad, L. Schweigerer, and T. Fotsis (2002) N-myc oncogene overexpression down-regulates IL-6; evidence that IL-6 inhibits angiogenesis and supresses neuroblastoma tumor growth Oncogene, 21(22):3552-61
- 26)L. Morbidelli, S. Donnini, S. Filippi, L. Messori, F. Piccioli, P. Orioli, G. Sava and M. Ziche (2003) Antiangiogenic properties of selected ruthenium(III) complexes that are nitric oxide scavengers Br. J. Cancer, 88(9):1484-91
- 27) L. Morbidelli, S. Donnini, F. Chillemi, A. Giachetti, M. Ziche (2003) Angiosuppressive and angiostimulatory effects exerted by synthetic partial sequences of endostatin Clinical Cancer Research 9(14):5358-69
- 28) S. Donnini, L. Morbidelli, G. Taraboletti, and M. Ziche ERK1-2 and p38 MAPK regulate MMP/TIMP balance and function in response to thrombospondin-1 fragments in the microvascular endothelium. Life Sciences, 74(24):2975-85 (2004) (IF=1.758)
- 29) A. Cappelli, G. Giuliani, G. la Pericot Mohr, A. Gallelli, M. Anzini, S. Vomero, A. Cupello, S. Scarrone, M. Matarrese, R.M. Moresco, F. Fazio, F. Finetti, L. Morbidelli, M. Ziche. A Nonpeptide NK, Receptor Agonist Showing Subpicomolar Affinity. J. Med. Chem, 47 (6): 1315-1318 (2004) (IF=4.139)
- 30) S. Cantara, S. Donnini, A. Giachetti, P.E. Thorpe, and M. Ziche Exogenous BH4/Bcl-2 peptide reverts coronary endothelial cell apoptosis induced by oxidative stress. J. Vasc. Res. 41(2):202-207 (2004) (IF=1,914)
- 31) M. Ziche Absence of PAF actions increases angiogenesis Commentary, Br. J. Pharmacol. 141: 1085-1086 (2004) (IF=3.502).
- 32) E. Bagli, M. Stefanioutou, L. Morbidelli, M. Ziche, K. Psillas, C. Maurphy, and T. Fotsis Luteolin inhibits VEGF-induced angiogenesis by targeting PI3K activity Cancer Research, accepted for publication (2004) (IF=8.302)
- 34) S. Donnini, L. Morbidelli, S. Garbisa, R. Schulz, M. Ziche Nitric oxide and cGMP control TIMPs and MMPs production in endothelial cell invasiveness. J. Cell. Physiol., under revision (2004) (IF=4.285)
- 35) S. Cantara, S. Donnini, L. Morbidelli, A. Giachetti, R. Schulz, M. Memo, M. Ziche Amyloid peptides prime the angiogenic response to FGF-2 but not to VEGF in endothelium

FASEB J, under revision (2004) (IF=14.118)

- 36) L. Morbidelli, S. Donnini, R. Solito, H. J. Granger, M. Ziche The angiotensin-converting enzyme inhibitor Zofenoprilat reverts apoptotic events and promotes cell proliferation in coronary postcapillary endothelium In preparazione per Circ. Res. (IF=9.213)
- 37) S. Donnini, F. Finetti, L. Morbidelli, V. Cheynier, D. Barron, P. Dolora, M. Ziche. Distinct roles of quercetin metabolites on angiogenesis. In preparazione per Clinical Cancer Research (IF=5.076)
- 38) S. Donnini, F.Finetti, R. Solito, L. Morbidelli, and M. Ziche Nitric oxide and prostanoids control the angiogenic switch of tumor cells by regulating VEGF expression. In preparazione per Cancer Research (IF=8.302)